

$$e_{DEC}(x_k(j)) = \log \left(\frac{\Pr(x_k(j) = +1 | C, (\tilde{\mathbf{L}}_{DEC})_k \setminus \tilde{I}_{DEC}(x_k(j)))}{\Pr(x_k(j) = -1 | C, (\tilde{\mathbf{L}}_{DEC})_k \setminus \tilde{I}_{DEC}(x_k(j)))} \right) \quad (8)$$

where $(\tilde{\mathbf{L}}_{DEC})_k \equiv \{\tilde{I}_{DEC}(x_k(j)), \forall j\}$ and $(\tilde{\mathbf{L}}_{DEC})_k \setminus \tilde{I}_{DEC}(x_k(j))$ is obtained by setting $\tilde{I}_{DEC}(x_k(j)) = 0$ in $(\tilde{\mathbf{L}}_{DEC})_k$. During the turbo-type iterative process, the extrinsic information generated by the ESE/DECs with appropriate interleaving is then used to update the *a priori* information, see Fig. 1.

The APP decoding in the DEC is a standard function [16], so we will not discuss it in detail. In the following, we will focus on the ESE.

IV. CHIP-BY-CHIP ESTIMATION IN SYNCHRONOUS CHANNELS

We first consider a synchronous single-path channel. In this case, there is only one channel tap-coefficient for user- k , i.e., $h_{k,0}$, that is simply denoted by h_k . Assuming quasi-static fading, the received signal (refer to (3)) at time instant j is simplified to

$$r(j) = \sum_{k=1}^K h_k x_k(j) + n(j). \quad (9)$$

Based on (9) and applying a Gaussian approximation [15], (7) can be evaluated as

$$e_{ESE}(x_k(j)) = 2h_k \cdot \frac{r(j) - E(r(j)) + h_k E(x_k(j))}{\text{Var}(r(j)) - |h_k|^2 \text{Var}(x_k(j))} \quad (10)$$

where $E(\cdot)$ and $\text{Var}(\cdot)$ denote the mean and variance respectively.

The iterative detection can be carried out in either a parallel or a serial scheme [15]. For clarity, we list the serial detection algorithm below.

The Basic Gaussian (BG) algorithm with serial scheduling:

Initially, set $k = 1$, $\tilde{I}_{ESE}(x_k(j)) = 0$, $\forall k, j$, $E(r(j)) = 0$ and

$$\text{Var}(r(j)) = \sigma^2 + \sum_{k=1}^K |h_k|^2, \quad \forall j.$$

(i) Main operations:

$$e_{ESE}(x_k(j)) \Leftarrow 2h_k \cdot \frac{r(j) - E(r(j)) + h_k E(x_k(j))}{\text{Var}(r(j)) - |h_k|^2 \text{Var}(x_k(j))}, \quad \forall j. \quad (11)$$

Update $\{\tilde{I}_{DEC}(x_k(j)), \forall j\} \Leftarrow \{e_{ESE}(x_k(j)), \forall j\}$. Perform APP decoding for user- k to produce $\{e_{DEC}(x_k(j)), \forall j\}$. Then update:

$$\{\tilde{I}_{ESE}(x_k(j)), \forall j\} \Leftarrow \{e_{DEC}(x_k(j)), \forall j\}.$$

$$E(x_k(j)) \Leftarrow \tanh(\tilde{I}_{ESE}(x_k(j))/2), \quad \forall j. \quad (12a)$$

$$\text{Var}(x_k(j)) \Leftarrow 1 - (E(x_k(j)))^2, \quad \forall j. \quad (12b)$$

$$E(r(j)) \Leftarrow E(r(j)) + h_k \Delta E(x_k(j)), \quad \forall j. \quad (13a)$$

$$\text{Var}(r(j)) \Leftarrow \text{Var}(r(j)) + |h_k(j)|^2 \Delta \text{Var}(x_k(j)), \quad \forall j. \quad (13b)$$

(ii) If $k = K$, reset $k = 1$. Otherwise increase k by 1. Go back to (i).

In (13), $\Delta E(x_k(j))$ and $\Delta \text{Var}(x_k(j))$ are defined as

$$\Delta E(x_k(j)) = E(x_k(j))|_{\text{current_iteration}} - E(x_k(j))|_{\text{last_iteration}}$$

$$\Delta \text{Var}(x_k(j)) = \text{Var}(x_k(j))|_{\text{current_iteration}} - \text{Var}(x_k(j))|_{\text{last_iteration}}$$

The normalized computational cost in (11)-(13) (excluding the APP decoding of C) is only about 8 additions, 7 multiplications and a tanh function per chip per user per iteration. The complexity per information bit per user increases linearly with spreading ratio but is independent of user number K .

V. CHIP-BY-CHIP ESTIMATION IN MULTIPATH CHANNELS

We now consider MUD in an asynchronous multipath channel. A transmitted chip $x_k(j)$ is now observed on L successive samples $\{r(j), r(j+1), \dots, r(j+L-1)\}$ in the received signal \mathbf{r} , see (1). The iterative principle in Fig. 1 and section III is still applicable, except the calculation of $e_{ESE}(x_k(j))$.

The MRC algorithm below performs a combining operation directly on channel outputs $\{r(j)\}$. The SR algorithm performs combining on soft chip estimates. Both do not consider the correlation among interfering signals caused by multipath reflection. The more sophisticated JG algorithm takes the correlation due to multipath reflection into account.

A. The Maximal Ratio Combining (MRC) Approach

In this approach [10], \mathbf{r} is passed through a MRC filter matched to the L tap-coefficients for a particular user. A MMSE based chip detection is then applied to generate $\{e_{ESE}(x_k(j))\}$. Since K different L -tap matched filters are involved, the complexity is $O(KL)$ [10] per chip per user.

B. The Soft Rake (SR) Approach

The SR algorithm performs a combining operation

$$e_{ESE}(x_k(j)) = \sum_{l=0}^{L-1} e_{ESE}(x_k(j))_l, \quad (14a)$$

where

$$e_{ESE}(x_k(j))_l = 2h_{k,l} \cdot \frac{r(j+l) - E(r(j+l)) + h_{k,l} E(x_k(j))}{\text{Var}(r(j+l)) - |h_{k,l}|^2 \text{Var}(x_k(j))} \quad (14b)$$

is the soft estimate of $x_k(j)$ based on $r(j+l)$ using (10). The complexity (per chip per user) of the SR algorithm is $O(L)$.

C. The Joint Gaussian (JG) Approach

In a multipath channel, the adjacent interfering signals are correlated. The joint Gaussian (JG) algorithm described below takes this into account. Its principle is similar to the MMSE multiuser detector developed in [3]. However, due to the chip-level interleavers used, we can ignore the correlation among adjacent chips caused by spreading and focus on the multipath effect only. This greatly reduces the dimension (and so the complexity) of the problem.

For user- k , we rewrite (3) as

$$\mathbf{r} = \mathbf{h}_k(j) x_k(j) + \zeta_k(j) \quad (15a)$$

where

$$\zeta_k(j) \equiv \sum_{(k',j') \neq (k,j)} \mathbf{h}_{k'}(j') x_{k'}(j') + \mathbf{n}. \quad (15b)$$

We make the hypothesis that $x_k(j)$ is either +1 or -1. We assume that \mathbf{r} and so $\zeta_k(j)$ in (15) can be approximated by vectors of joint multi-dimensional Gaussian random variables with mean vectors

$$\mathbf{E}(\mathbf{r}) = \sum_{k,j} \mathbf{h}_k(j) \mathbf{E}(x_k(j)) \quad (16a)$$

$$\mathbf{E}(\zeta_k(j)) = \mathbf{E}(\mathbf{r}) - \mathbf{h}_k(j) \mathbf{E}(x_k(j)). \quad (16b)$$

Assuming that the correlation among $\{r(j)\}$ is caused only by multipath reflection, we calculate covariance matrices as

$$\begin{aligned} \mathbf{R} &\equiv \text{Cov}(\mathbf{r}) = \mathbf{E}(\mathbf{r}\mathbf{r}^T) - \mathbf{E}(\mathbf{r})\mathbf{E}(\mathbf{r}^T) \\ &= \sum_{k,j} \mathbf{h}_k(j) \mathbf{h}_k(j)^T \text{Var}(x_k(j)) + \sigma^2 \mathbf{I} \end{aligned} \quad (17a)$$

$$\begin{aligned} \text{Cov}(\zeta_k(j)) &= \mathbf{E}(\zeta_k(j) \zeta_k(j)^T) - \mathbf{E}(\zeta_k(j)) \mathbf{E}(\zeta_k(j))^T \\ &= \mathbf{R} - \mathbf{h}_k(j) \mathbf{h}_k(j)^T \text{Var}(x_k(j)), \end{aligned} \quad (17b)$$

where \mathbf{I} is an identity matrix with an appropriate size. Applying the matrix inversion lemma to (17b) yields

$$(\text{Cov}(\zeta_k(j)))^{-1} = \mathbf{R}^{-1} + \frac{\text{Var}(x_k(j)) \mathbf{R}^{-1} \mathbf{h}_k(j) \mathbf{h}_k(j)^T \mathbf{R}^{-1}}{1 - \text{Var}(x_k(j)) \mathbf{h}_k(j)^T \mathbf{R}^{-1} \mathbf{h}_k(j)}. \quad (18)$$

Based on (15)-(18), we evaluate the LLR defined in (7) as,

$$\begin{aligned} e_{ESE}(x_k(j)) &= \log \left(\frac{p(\mathbf{r} | x_k(j) = +1, \mathbf{H})}{p(\mathbf{r} | x_k(j) = -1, \mathbf{H})} \right) \\ &= 2 \mathbf{h}_k(j)^T (\text{Cov}(\zeta_k(j)))^{-1} (\mathbf{r} - \mathbf{E}(\zeta_k(j))) \\ &= 2 \cdot \frac{\mathbf{h}_k(j)^T \mathbf{R}^{-1} (\mathbf{r} - \mathbf{E}(\mathbf{r})) + \mathbf{E}(x_k(j)) \mathbf{h}_k(j)^T \mathbf{R}^{-1} \mathbf{h}_k(j)}{1 - \text{Var}(x_k(j)) \mathbf{h}_k(j)^T \mathbf{R}^{-1} \mathbf{h}_k(j)} \\ &= 2 \cdot \frac{\mathbf{h}_k(j)^T \mathbf{w} + \mathbf{E}(x_k(j)) \mathbf{v}_{kj}^T \mathbf{v}_{kj}}{1 - \text{Var}(x_k(j)) \mathbf{v}_{kj}^T \mathbf{v}_{kj}} \end{aligned} \quad (19)$$

where $\mathbf{v}_{k,j} = \mathbf{F}^{-1} \mathbf{h}_k(j)$, $\mathbf{w} = \mathbf{R}^{-1} (\mathbf{r} - \mathbf{E}(\mathbf{r}))$ and \mathbf{F} is a lower-triangle matrix obtained from the Cholesky factorization $\mathbf{R} = \mathbf{F}\mathbf{F}^T$. \mathbf{R} is a band matrix with bandwidth $2L-1$.

Consider the evaluation of (19). We write $\mathbf{v}_{k,j} = [v_{k,j}(1), \dots, v_{k,j}(J+L-j)]^T$. Since the first $j-1$ entries in $\mathbf{h}_k(j)$ are all zero and \mathbf{F} is a lower triangle band matrix, the first $j-1$ entries of $\mathbf{v}_{k,j}$ are always zero. Thus the inner product in (19) can be written as

$$\mathbf{v}_{k,j}^T \mathbf{v}_{k,j} = \sum_{i=j}^{J+L-1} v_{k,j}^2(i). \quad (20)$$

We can use the following partial sum to approximate (20).

$$\mathbf{v}_{k,j}^T \mathbf{v}_{k,j} \approx \sum_{i=j}^{j+W-1} v_{k,j}^2(i) \quad (21)$$

where W is a properly selected positive integer. Since \mathbf{R} and \mathbf{F} are both band matrices, the cost involved in (21) is $O(W \times L)$. Experimentally, we observed that the performance loss is marginal when setting $W \geq 2L$ so that the total cost becomes $O(L^2)$ per chip per user per iteration. This approximation is justified as follows. Let $\hat{\mathbf{r}}$ be a truncated vector consisting of the first $(j+W)$ th entries of \mathbf{r} . Suppose that we generate $\hat{\mathbf{v}}_{k,j}$

and $\hat{e}_{ESE}(x_k(j))$ based on $\hat{\mathbf{r}}$. Then $\hat{e}_{ESE}(x_k(j))$ is an estimate using a reduced set of statistics and it should be a good

approximation of $e_{ESE}(x_k(j))$ when W is large. It can be verified that $\hat{\mathbf{v}}_{k,j}$ actually consists of the first $(j+W)$ entries of $\mathbf{v}_{k,j}$, leading to (21).

D. A Complexity Comparison

SR always has the lowest complexity among the three algorithms discussed above. JG has lower complexity than MRC when K is very large. However, MRC can be more cost-effective when L is very large. In particular, if L is large and the number of non-zero tap-coefficients for each user is very small, then MRC is a good choice.

VI. NUMERICAL RESULTS

In Figs. 2-4, we consider a chip-interleaved CDMA system in which each user employs a rate-1/2 nonsystematic convolutional code with generator polynomials $(23, 35)_8$. The information block size is 128 and the coded frame length is $256+8$, including 8 extra bits for termination. The coded bits are further spread with a spreading factor of $S = 8$ (thus the coding rate of each user is $R_C \approx 1/2 \times 1/8 = 1/16$). Due to the random interleavers used afterwards, the sequential order of +1 and -1 in the spreading sequences has no impact on system performance. Thus we let all the users employ the same spreading sequence $[+1, -1, +1, -1, +1, -1, +1, -1]$ that contains a balanced number of +1 and -1. After spreading, the signal for each user is interleaved by two independent chip interleavers to produce two chip streams for the in-phase and quadrature parts. All the interleavers (length of $2112 = (256 \times 2 + 8) \times 8$) are generated randomly and independently. The total system throughput is $K \times R_C$ bits/chip that is a measurement of the overall bandwidth efficiency. One transmit antenna ($N = 1$) for each user is always assumed. Since interleaving is the only mechanism in this scheme for user separation, we refer to it as interleave-division multiple-access (IDMA) [14,15].

Fig. 2 shows the BER performance using the SR algorithm in quasi-static Rayleigh fading multipath channels with different numbers of tap-coefficients. It is seen that performance improves uniformly with increasing path number due to the improved diversity.

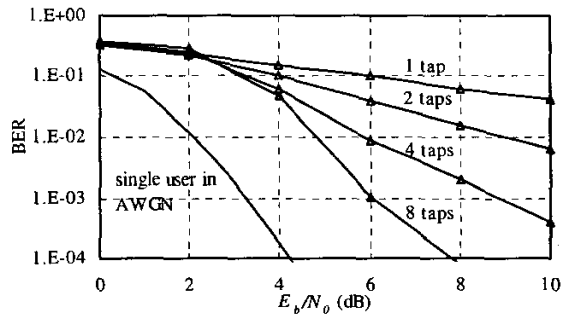


Fig. 2. Performance in quasi-static Rayleigh fading multipath channels. Iteration number = 5. $K = 32$. One receive antenna. $S = 8$.

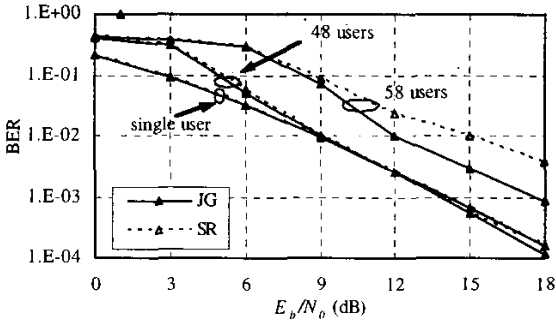


Fig. 3. Performance in quasi-static Rayleigh fading multipath channels with $L = 2$. Iteration number = 8. One receive antenna. $S = 8$.

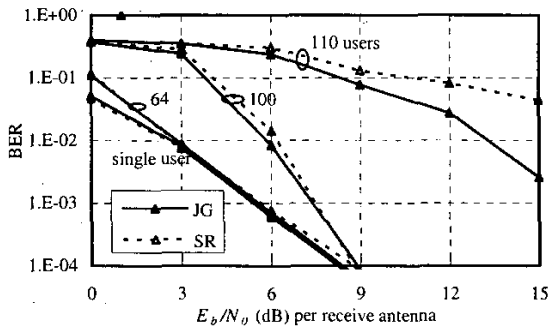


Fig. 4. Performance in quasi-static Rayleigh fading multipath channels with $L = 2$. Iteration number = 8. Two receive antennas. $S = 8$.

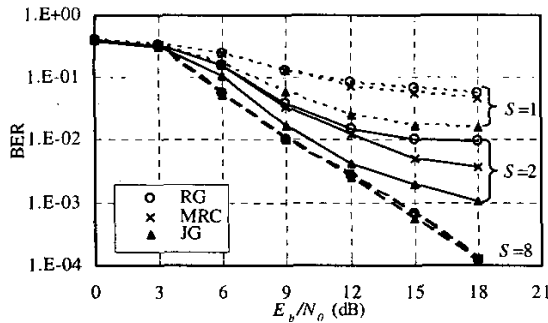


Fig. 5. Performance comparison of MRC, SR and JG algorithms. One receive antenna. Spreading length $S = 1, 2$ and 8 . Throughput is fixed at 3 bits/chip, i.e., $K = 6S$. Iteration number = 8.

Figs. 3 and 4 compare the performance of the SR and JG algorithms in multipath channels with $L = 2$. One receive antenna is assumed in Fig. 3 and two in Fig. 4. SR performs well for small K values but the advantage of JG becomes noticeable for large K values. The system throughputs are 3 bits/chip for 48 users (Fig. 3) and more than 6 bits/chip for 100 users (Fig. 4). Such throughputs are rather surprising as the spreading length is only 8.

Fig. 5 compares the performance of MRC, SR and JG algorithms in multipath channels ($L = 2$) with a fixed throughput $K \times R_c = 3$ bits/chip and different S (spreading length) and K (user number) values. Other parameters are the same as those used in Figs. 2-4. From Fig. 5, JG always outperforms the other two alternatives. For a small S , MRC and SR have similar performance. For a large S , the performance of MRC and SR becomes closer to JG.

VII. CONCLUSIONS

We have examined low-cost MUD receiver algorithms in multipath multiple antenna channels. For very heavily loaded situations, the JG algorithm demonstrates better performance. The SR algorithm appears a good compromise between cost and performance, since its complexity is only $O(L)$ per user.

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