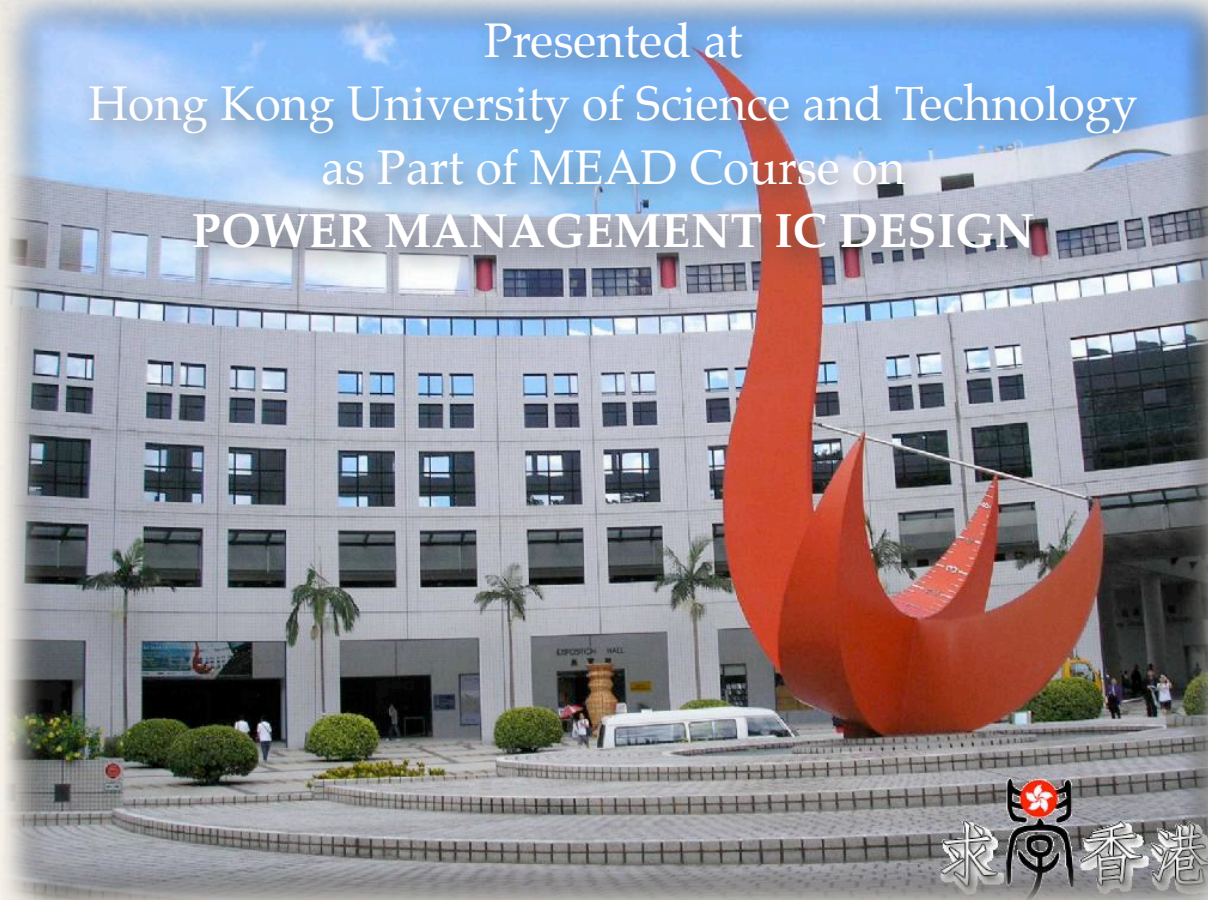


Presented at
Hong Kong University of Science and Technology
as Part of MEAD Course on
POWER MANAGEMENT IC DESIGN



Overview of the Design of Switch-Mode Power Converters: From Theory to Practice

C.K. Michael Tse, Hong Kong Polytechnic University

January 12, 2011

How theory works for us?

- ❖ How basic theory helps design and analysis?
- ❖ What can we do if the theory doesn't match the outcome?
- ❖ Can we live without heuristics?
- ❖ Are complex models always better?
- ❖ What makes the engineers lose faith in the theory?
- ❖ Can theory really be applied in practice?

The theorist errs!

- ❖ The EMI filter isn't quite doing what it is supposed to do. Let me use a different filter configuration, and try again.
- ❖ When the converter loses stability, the theory says that it diverges to infinity.
- ❖ Oh! I have found the answer from my theoretical prediction. I need a duty cycle of 1.2 for this theoretical power factor control!! How could I achieve this?

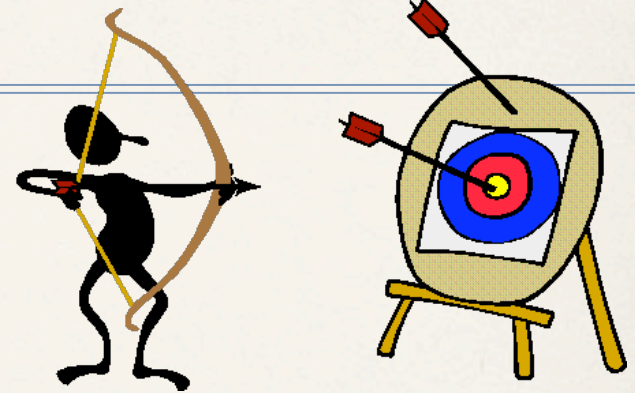


The engineer misinterprets!

- ❖ The snubber gets pretty hot. Perhaps a smaller resistance would reduce loss.
- ❖ The transformer behaves differently when used in a flyback converter and in a forward converter.
- ❖ Air gap stores more energy.
- ❖ The output voltage of my constant-power-controlled flyback circuit isn't high enough. A few more turns in the secondary side would raise the output voltage.



Objectives of this overview



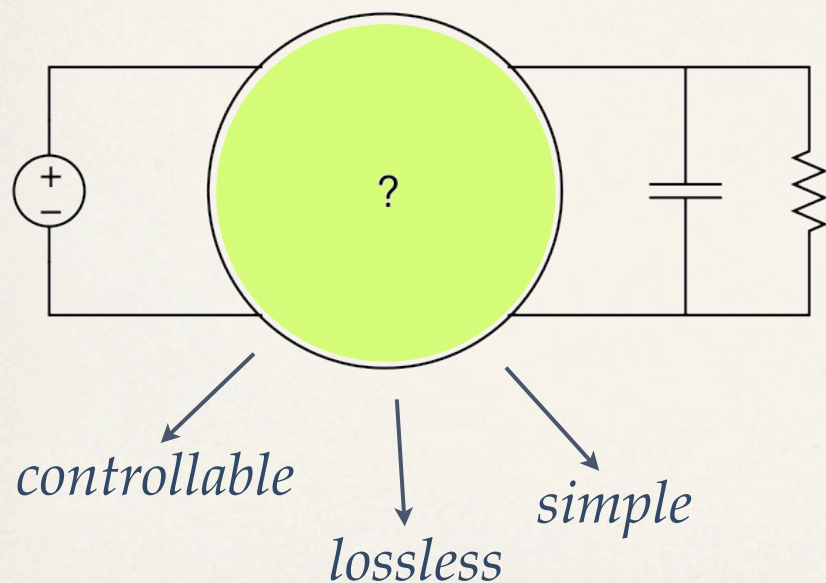
- ❖ Overview!
- ❖ To show how one can arrive at a practical circuit from *proper* consideration of basic theory.
- ❖ To show how circuit theory can be used to explain problems encountered in practical circuits.
- ❖ To show how a switch mode power supply can be systematically constructed, starting from the simplest converter topology.

Contents

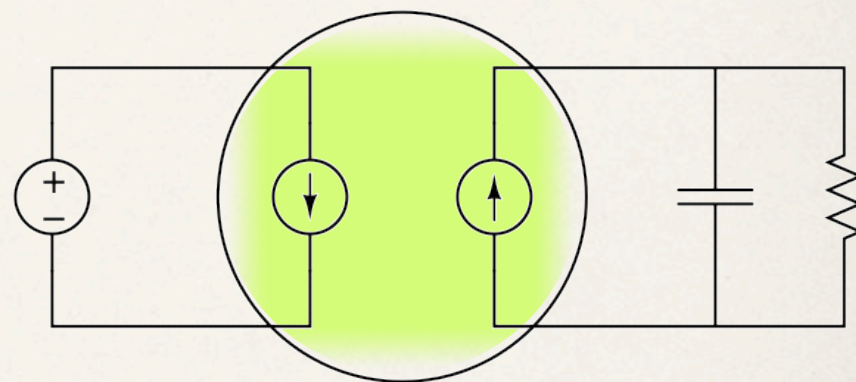
- ❖ Basic topologies and practical requirements
- ❖ How theory solves problems:
 - ❖ First problem: practical transformer
 - ❖ Second problem: real device switching
 - ❖ Third problem: closed-loop control
 - ❖ Fourth problem: isolation
 - ❖ Fifth problem: input filter
- ❖ Conclusion

Genesis of converters

- ❖ Aim: To convert controllable power from a voltage source to a load, with NO LOSS.



- ❖ Kirchhoff's laws restrict terminal conditions

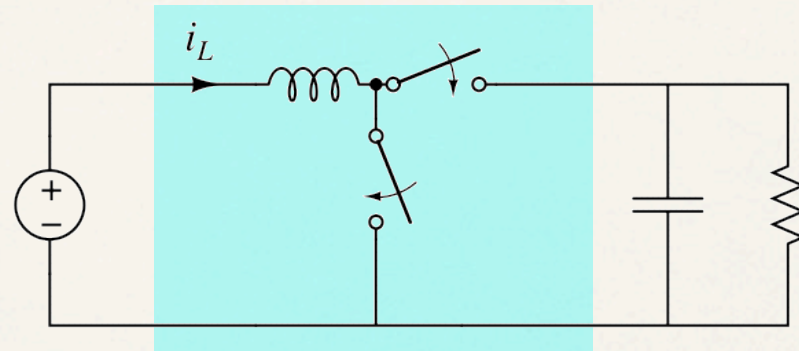


Elements wanted

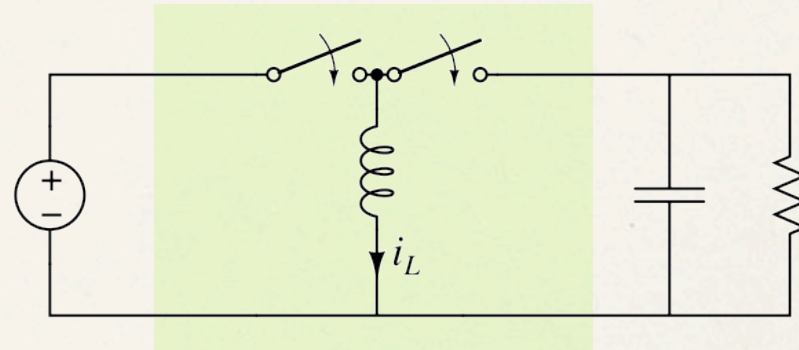
- ❖ Lossless requirements
 - ❖ current sinking for input
 - ❖ current sourcing for output
 - ❖ Ideas
 - ❖ An inductor switching between source and load
 - ❖ Relative sourcing and sinking durations would control the energy flow
- ❖ From Kirchhoff's laws,
 - ❖ *Inductors must not be left open.*
 - ➔ An inductor switching between source and load.
 - ➔ At least one current path must be available at all times.
 - ➔ At least two switches are needed to divert the inductor current
 - ➔ **THREE POSSIBILITIES**

Three possibilities

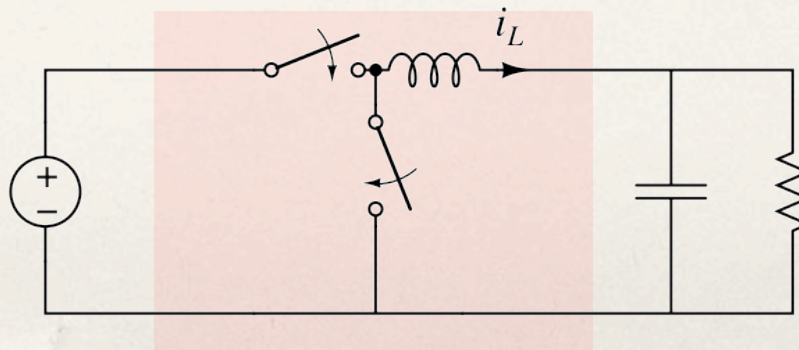
Boost converter



Buck-boost converter



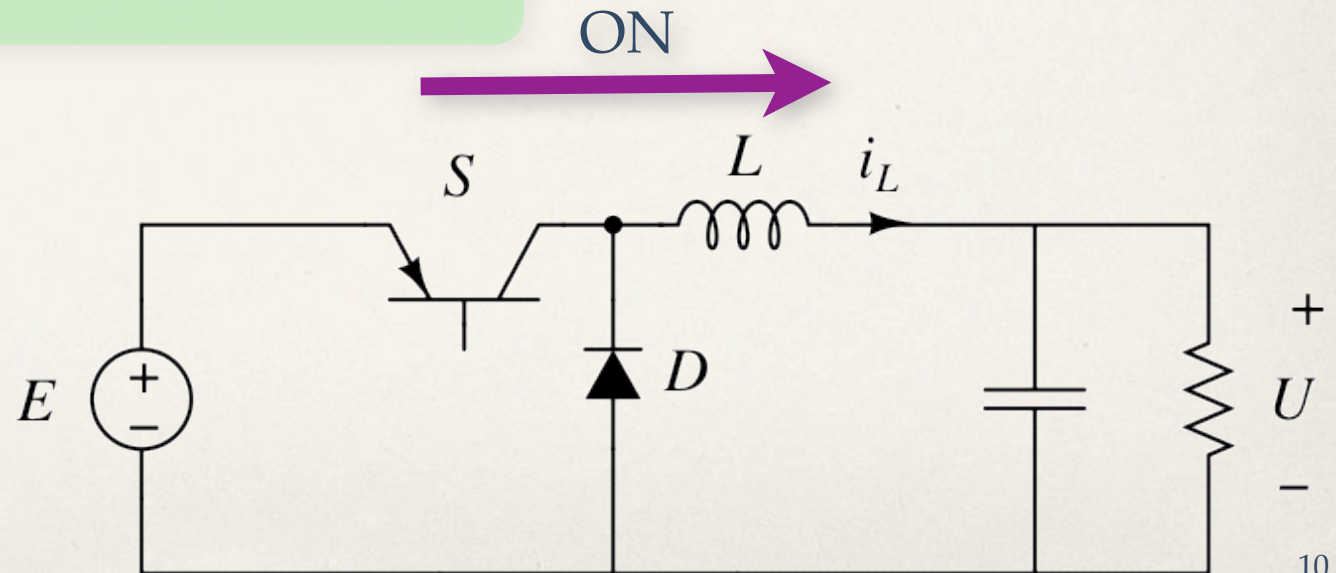
Buck converter



Quick glimpse: the buck converter

- ❖ Switch S is turned on and off very quickly, at a rate much greater than the output filter natural frequency
- ❖ Control parameter is *duty cycle*, d

$$d = \frac{\text{duration when } S \text{ is on}}{\text{period}} = \frac{t_{\text{on}}}{T}$$



Steady-state operation

- ❖ Suppose we fix the duty cycle and wait until a steady state is reached.
- ❖ Inductor current goes up during the ON time, and goes down during the OFF time.
- ❖ Periodic operation forces:

Increment during ON = Decrement during OFF

$$\frac{(E - U)DT}{L} = \frac{U(1 - D)T}{L}$$

$$U = D \times E$$

Putting it to practice

- ❖ We need

- ❖ transformer isolation
- ❖ closed-loop control
- ❖ drivers for MOSFETs
- ❖ self start-up
- ❖ snubbers as switching aids
- ❖ protection
- ❖ input EMI filter
- ❖ Proper component selection
- ❖ and mechanical design:
 - ❖ heat sink, layout, packaging, etc.

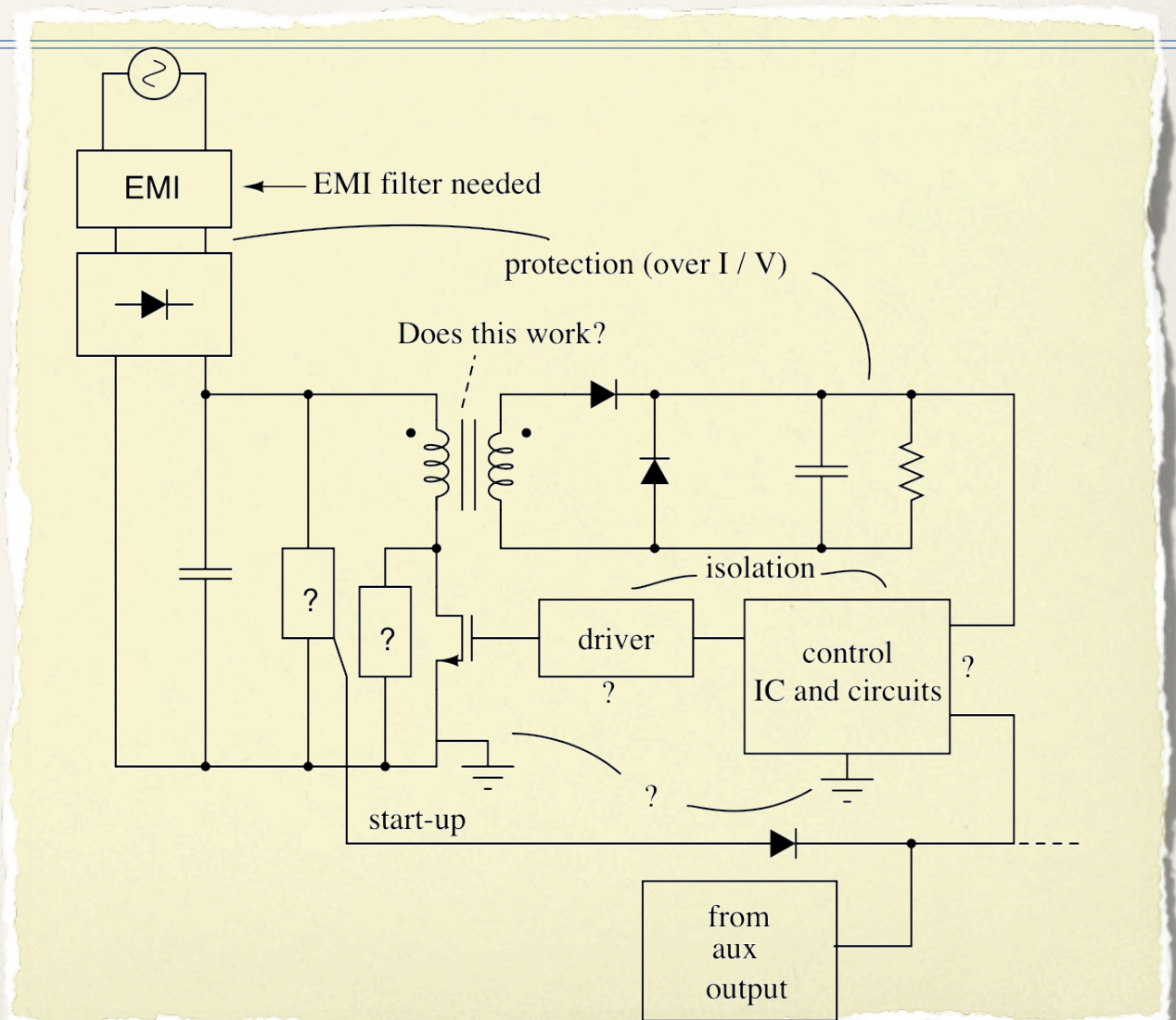
Direct
mandatory
requirements

Indirect
mandatory
requirements

Regulatory
requirements

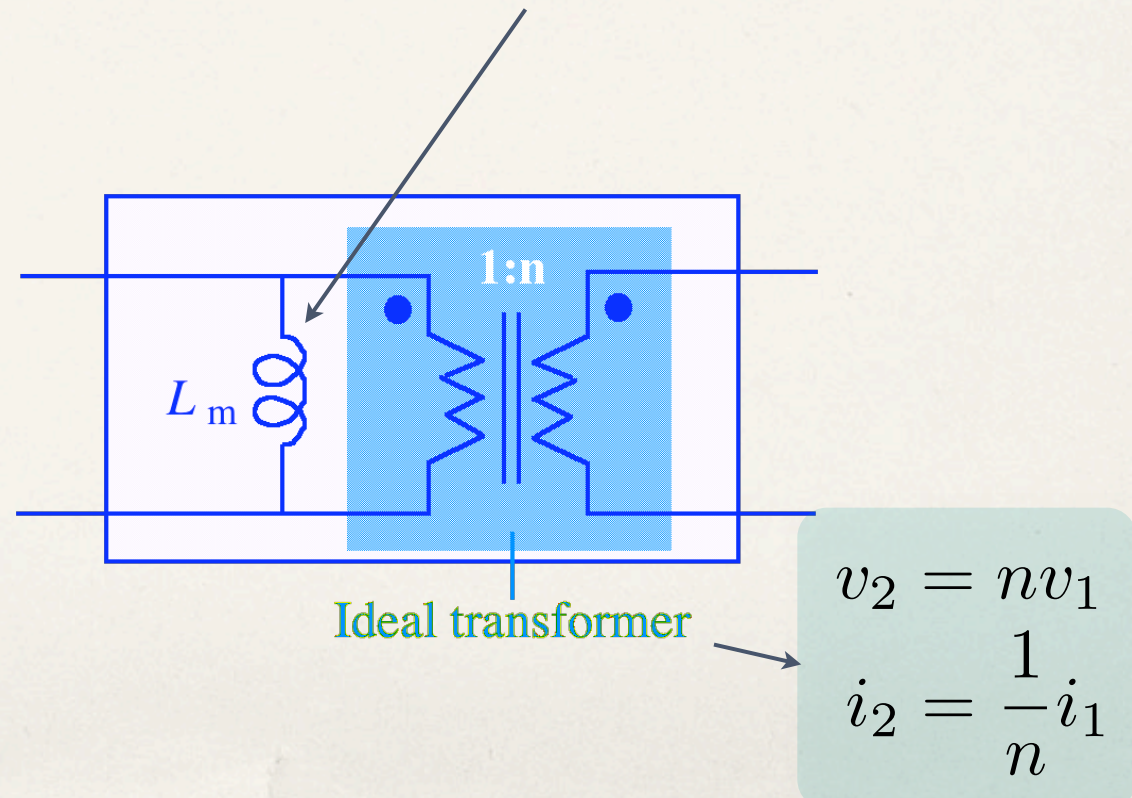
Practical circuit requirement

- ❖ Forward converter – transformer isolated buck converter



First problem: transformer

- ❖ The previous forward converter worked only if the transformer were ideal.
- ❖ However, practical transformers have *magnetizing inductance*.

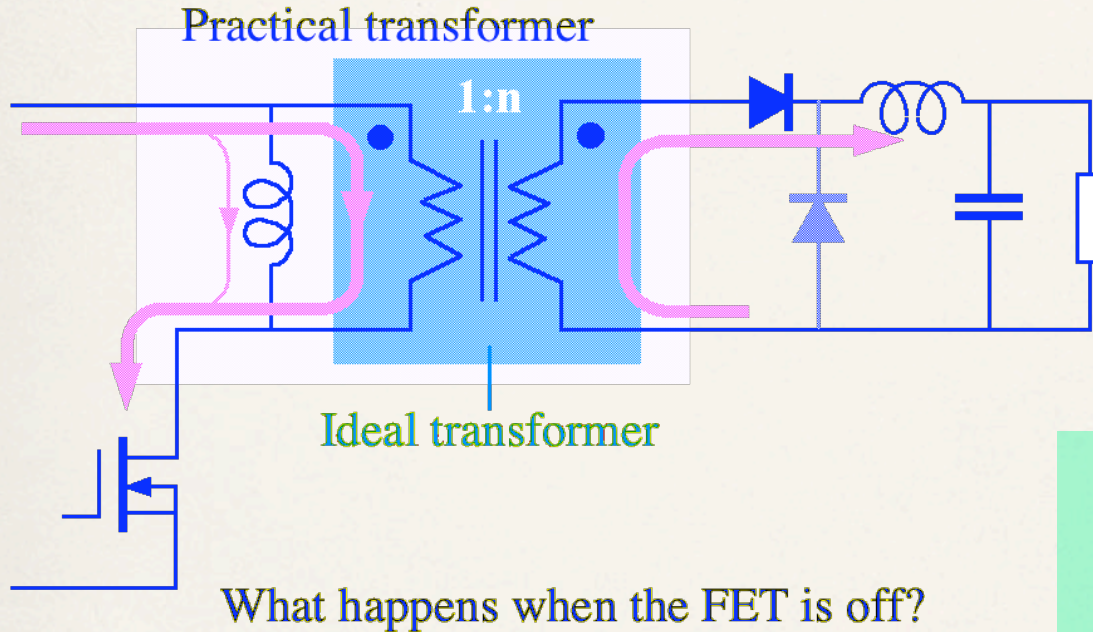


What the theory says?

- ❖ If we have to use a transformer for the forward converter, the transformer should be ideal.
- ❖ That means INDEFINITELY LARGE magnetizing inductance.
- Either an infinitely permeable core
 - ❖ OR an infinite number of turns
- ❖ THAT'S IMPOSSIBLE!!

Probing further

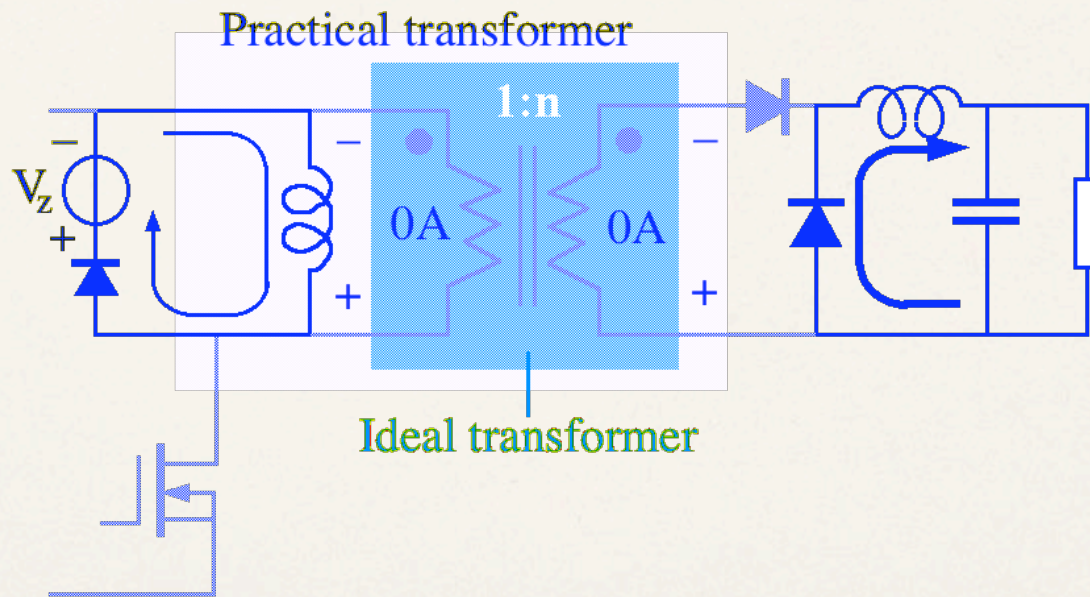
- * First, consider the ON time



- * Secondary of T/F has no current.
- ↓
- * Primary has no current either.
- ↓
- * Current in magnetising inductance can go nowhere!!

Deriving solution: core reset

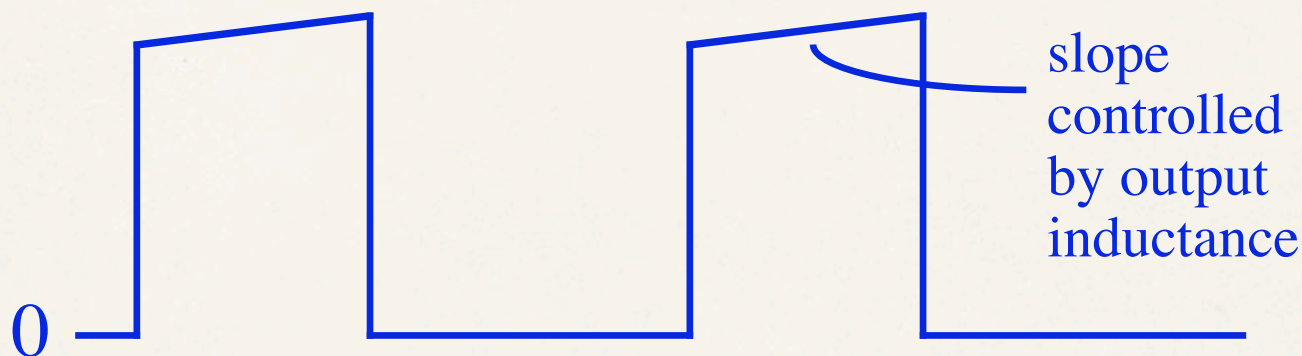
- ❖ A path must exist during OFF time to bring the magnetizing current back to zero



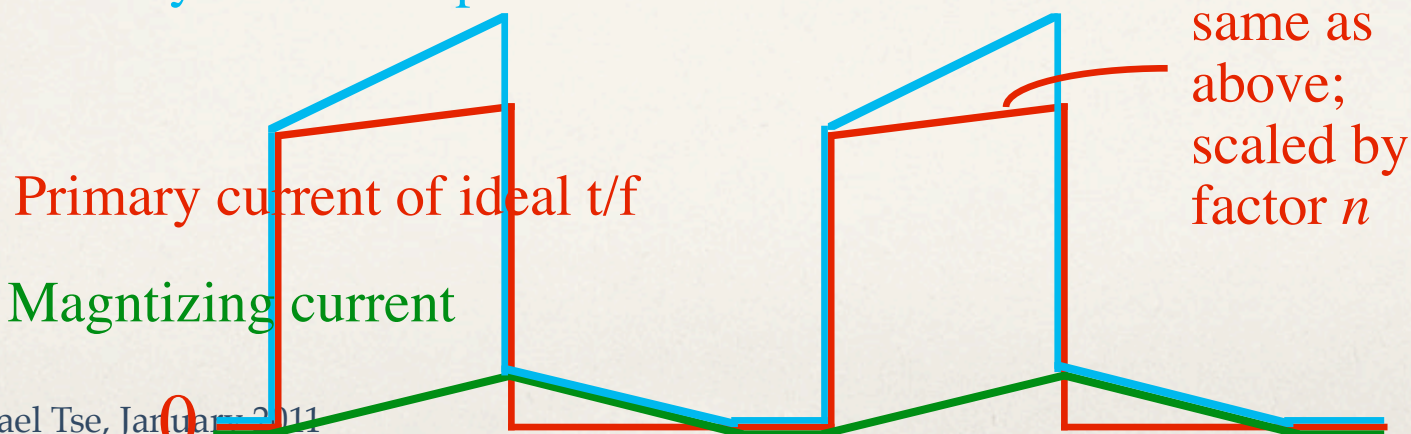
- ❖ Circuit theory works and explains the problem.

Waveforms with core reset

Secondary current of ideal t/f



Primary current of practical t/f



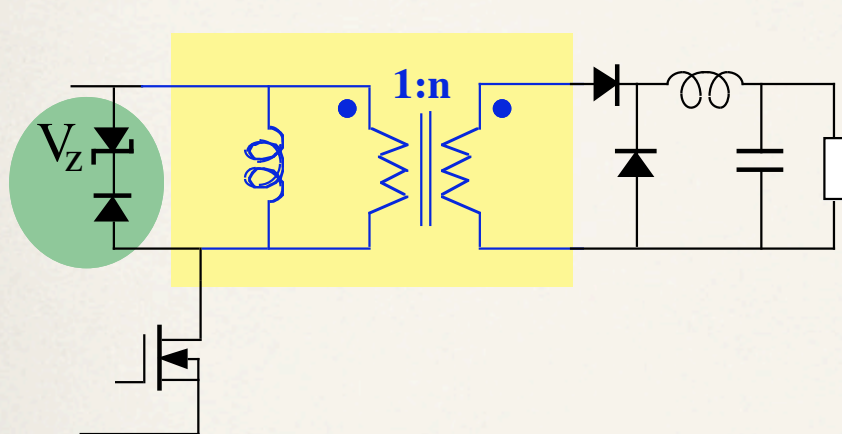
Primary current of ideal t/f

Magnitizing current

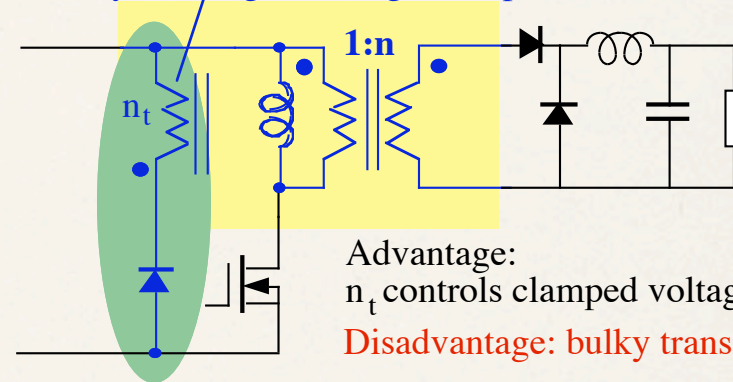
Requirement for core reset

- ❖ Negative voltage polarity applied to the winding during OFF time.
- ❖ This voltage must be large enough to bring the magnetising current back to zero.
 - ❖ If $d = 0.5$, then $V_z > V_{in}$.
 - ❖ If $d = 0.8$, then $V_z > 4V_{in}$.
- ❖ Technique: *clamping the voltage during OFF time.*

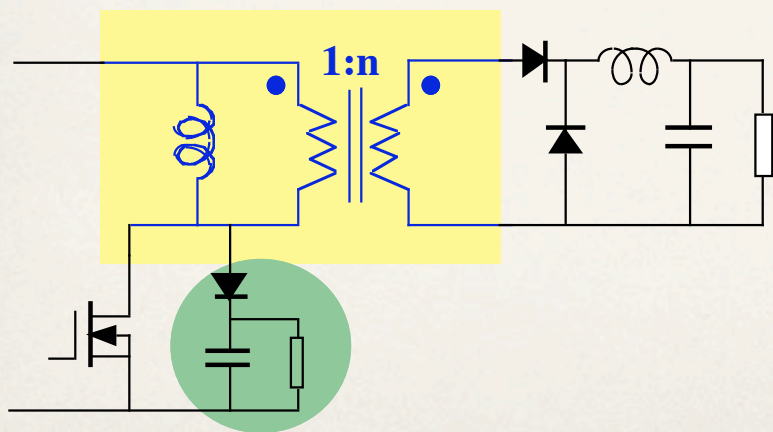
Some possibilities



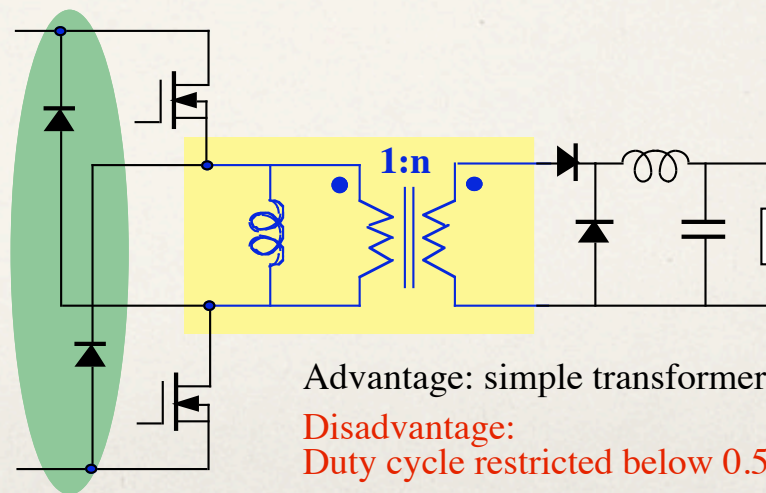
Tertiary winding as voltage clamp



Advantage:
 n_t controls clamped voltage for re-set
 Disadvantage: bulky transformer



Two-wheeler forward converter



Advantage: simple transformer
 Disadvantage:
 Duty cycle restricted below 0.5

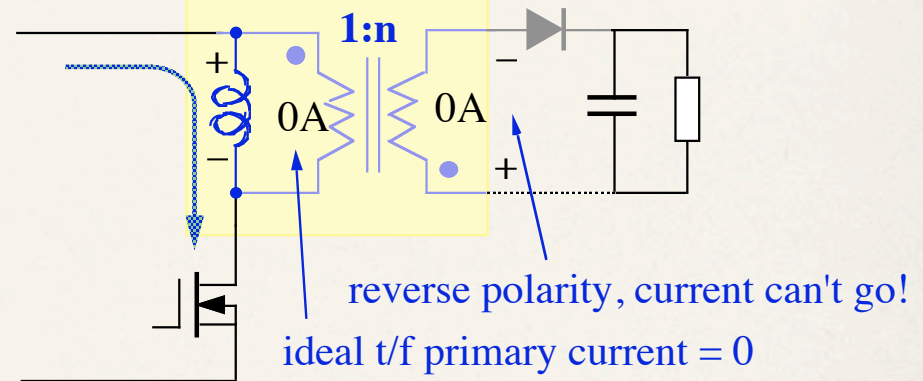
Recapitulation

- ❖ Although we cannot make an ideal transformer, we solve the problem with a reset circuit.
- ❖ We now care much less how large L_m is, since we have a way to get around it.
- ❖ QUESTION: Can the magnetizing inductance be used to advantage?
 - ❖ YES, in a flyback converter!

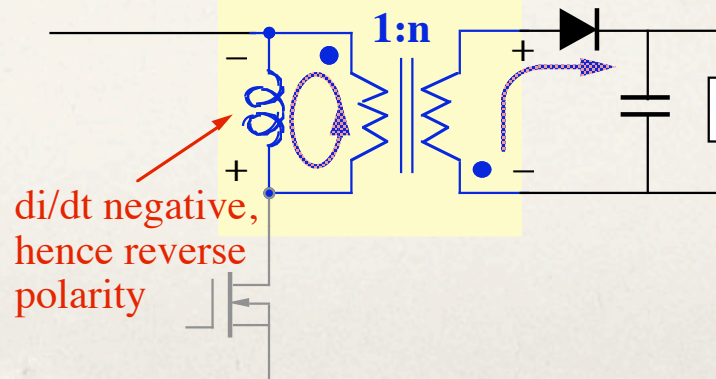
Flyback converter

- ❖ In this case, we don't need an ideal transformer. That's good. We don't have it anyway.
- ❖ The magnetizing inductance becomes crucial as part of the circuit element.
- ❖ Requirement:
 - ❖ Linear inductor!
 - ❖ Air-gap to augment BH curve (then more turns to obtain inductance)

During ON-time, magnetizing inductance charges up.



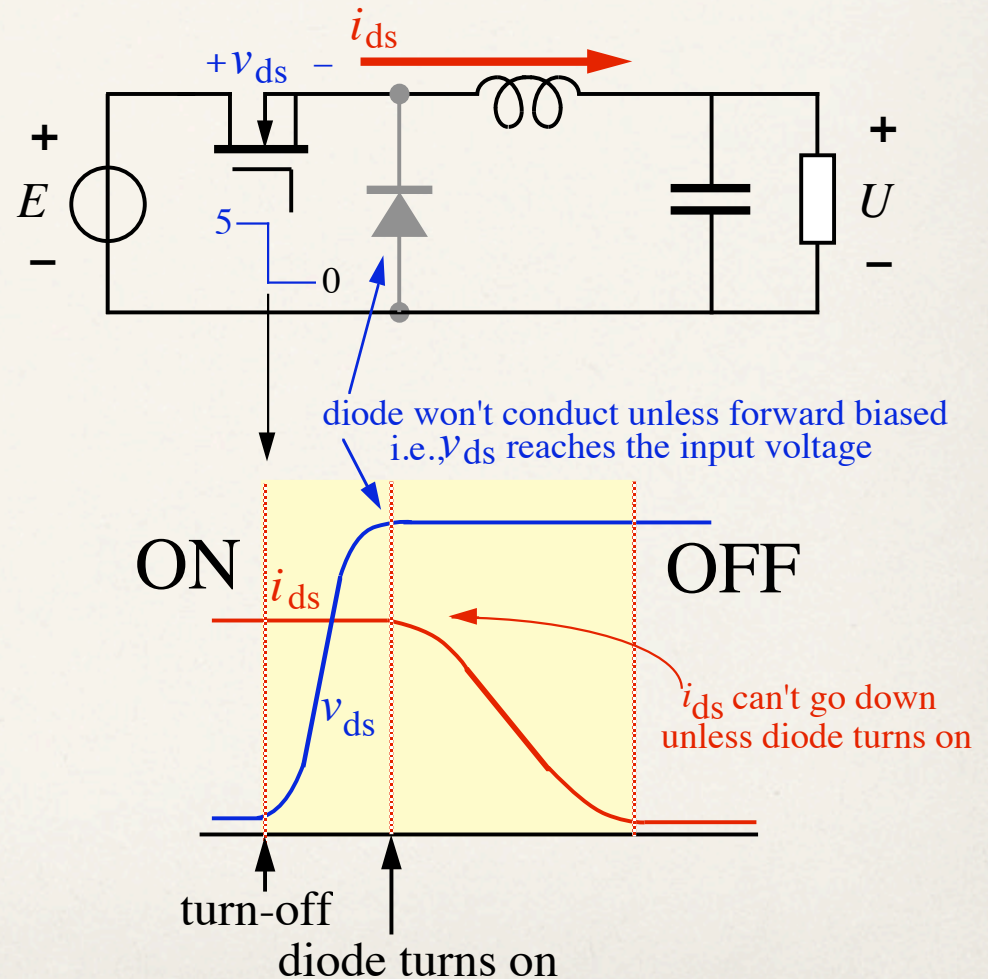
During OFF-time, magnetizing current forces its way out through the ideal t/f primary.



Second problem: real switching

- ❖ Consider turning the switch in a buck converter.
- ❖ The result of this real device switching is

- ❖ **POWER LOSS (switching loss)**



Deriving solution: snubber

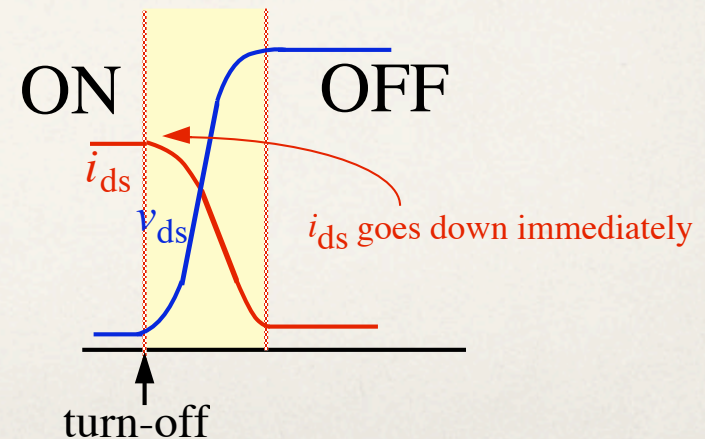
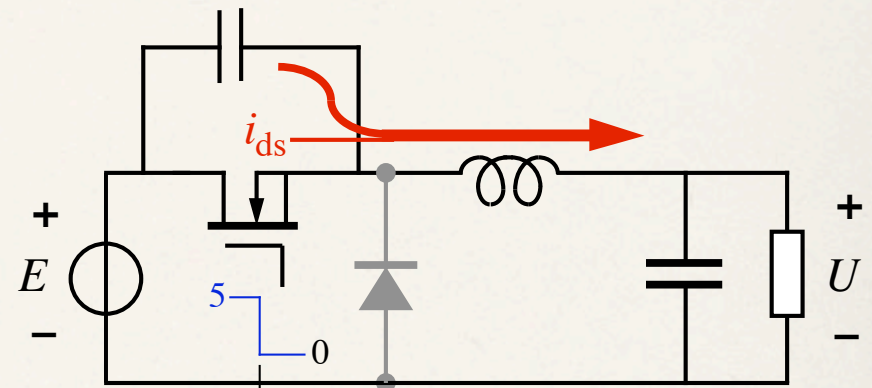
- ❖ Give the switch current a chance to go down before the diode turns on.



- ❖ Set up a PARALLEL CURRENT PATH right after the turn-off instant.



- ❖ Place a capacitor across the switch at turn-off to supply current for the output inductor.



Completing the solution

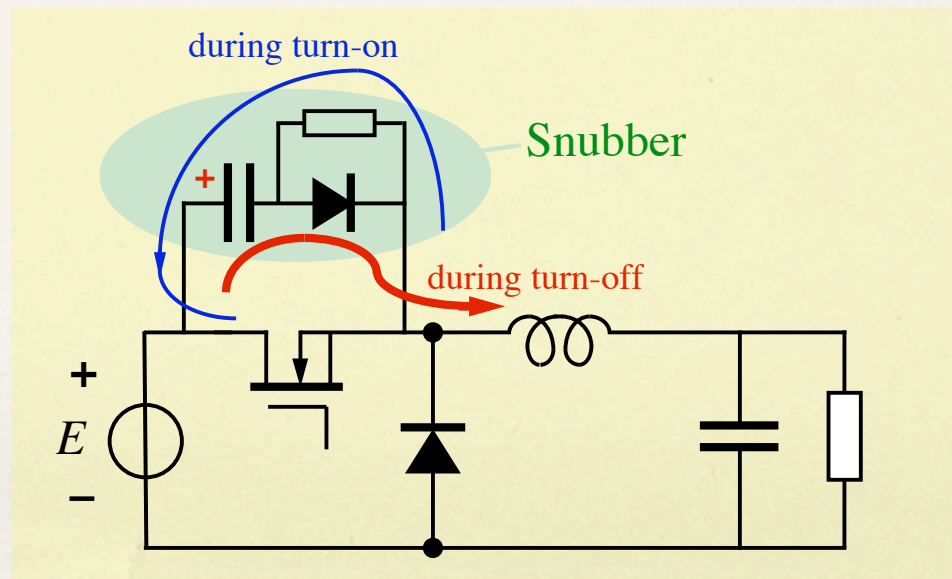
- ❖ What happens when the switch is turned on again in the next cycle?
- ❖ The current will rush through the switch!!



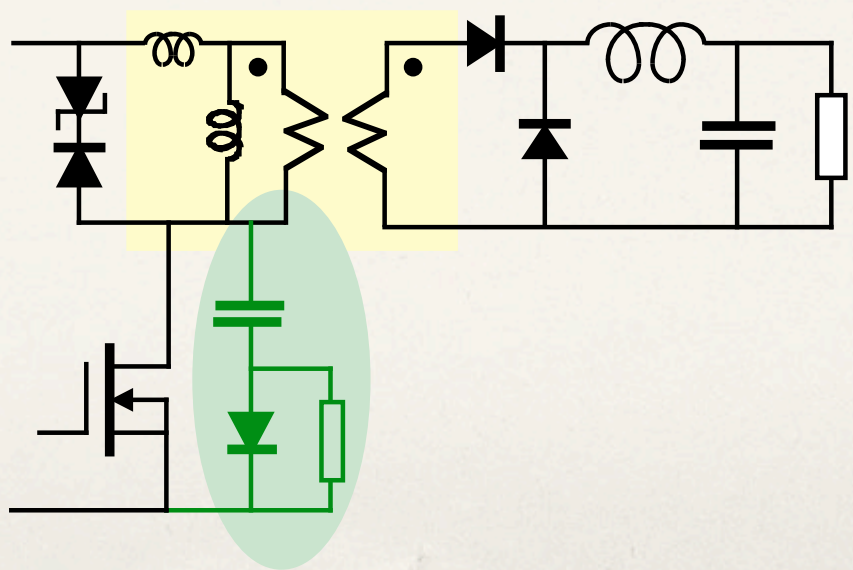
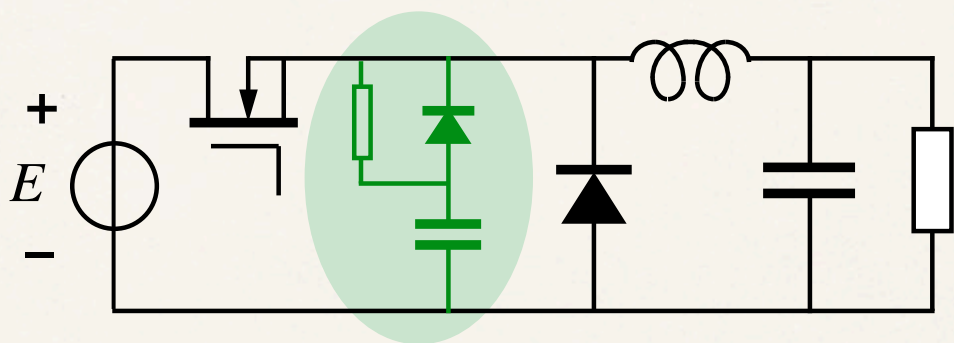
- ❖ We must protect the switch from such huge in-rush.
- ❖ The complete snubber is:

- ❖ Energy loss per cycle is

$$\frac{1}{2} C_{\text{snubber}} v_s^2$$

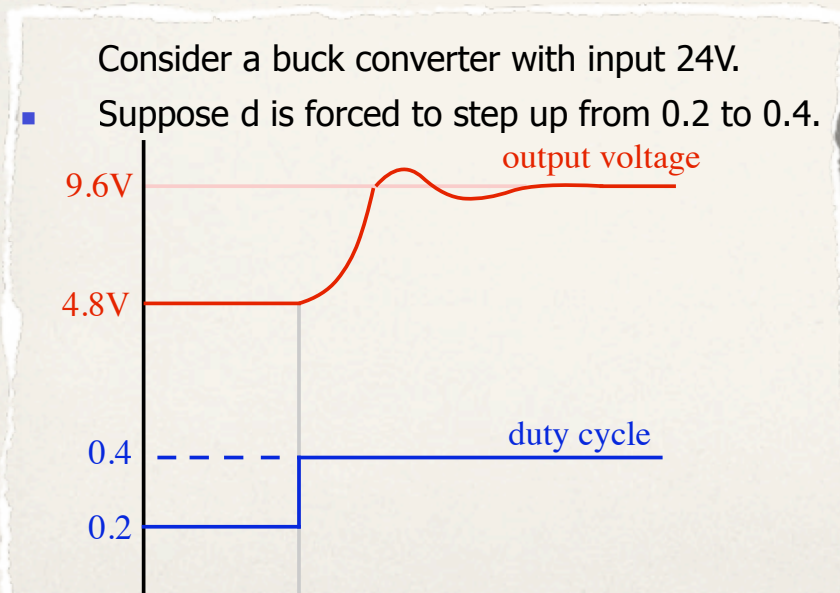


Other examples

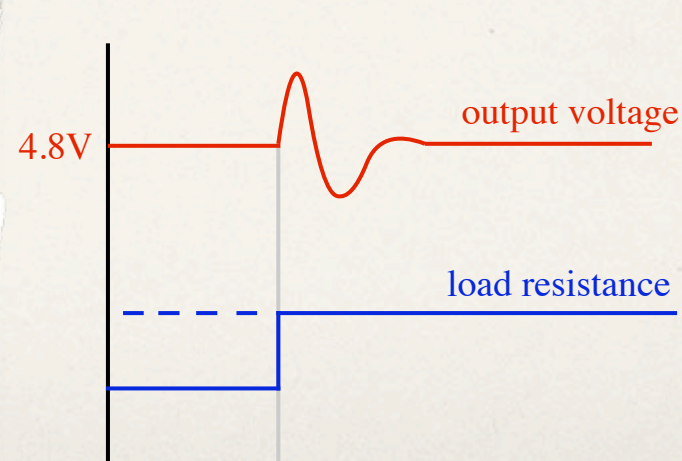


Third problem: closed loop control

- ❖ Why?
 - ❖ Because the system is dynamic.
- ❖ What is a dynamical system?
 - ❖ A simplified definition: a system that does not assume an operating point instantly when an input parameter is changed.

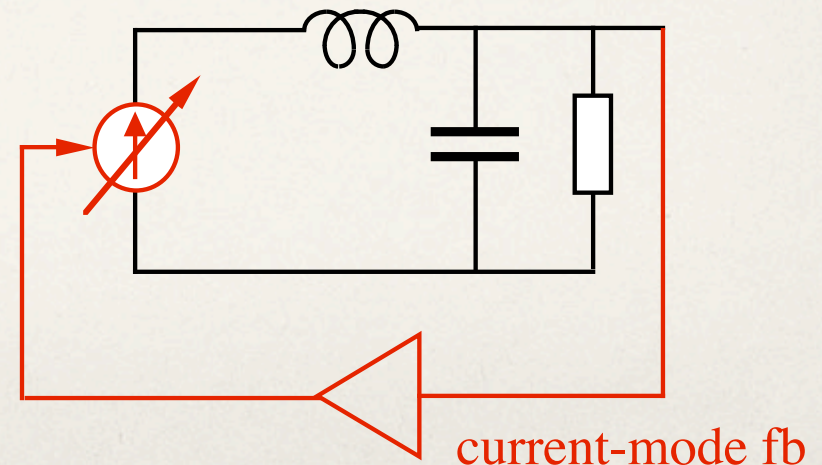
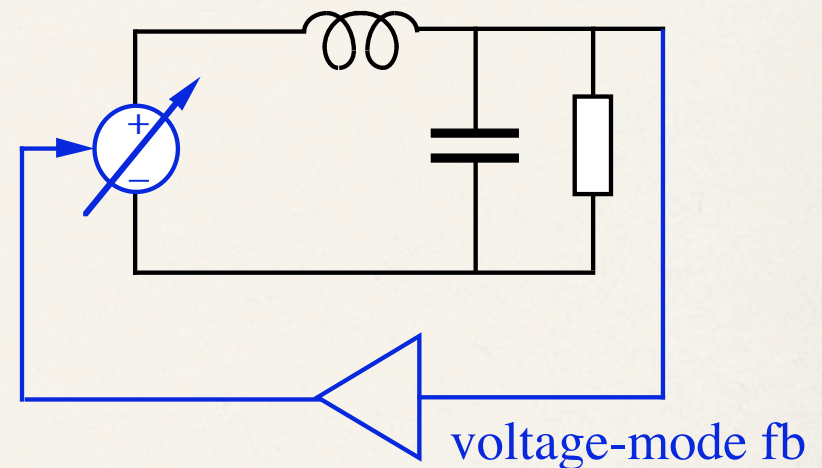


- Suppose d stays constant, but the load resistance steps up.



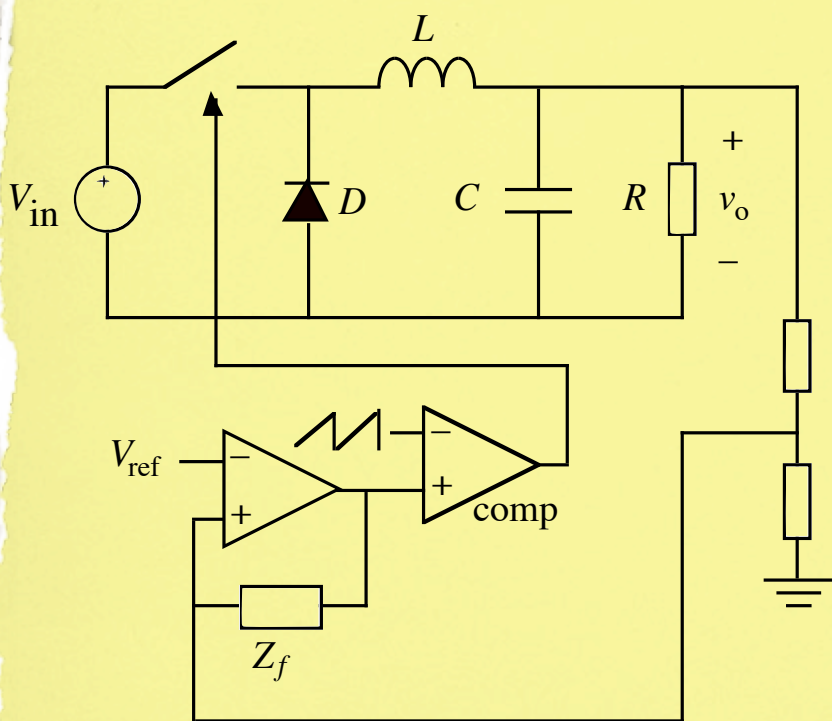
The need for control

- * Obviously we need to control the duty cycle if we want the system to have a dynamic behavior different from the natural behavior.
- * 2 common approaches
 - * *Voltage mode control*
 - * *Current mode control*

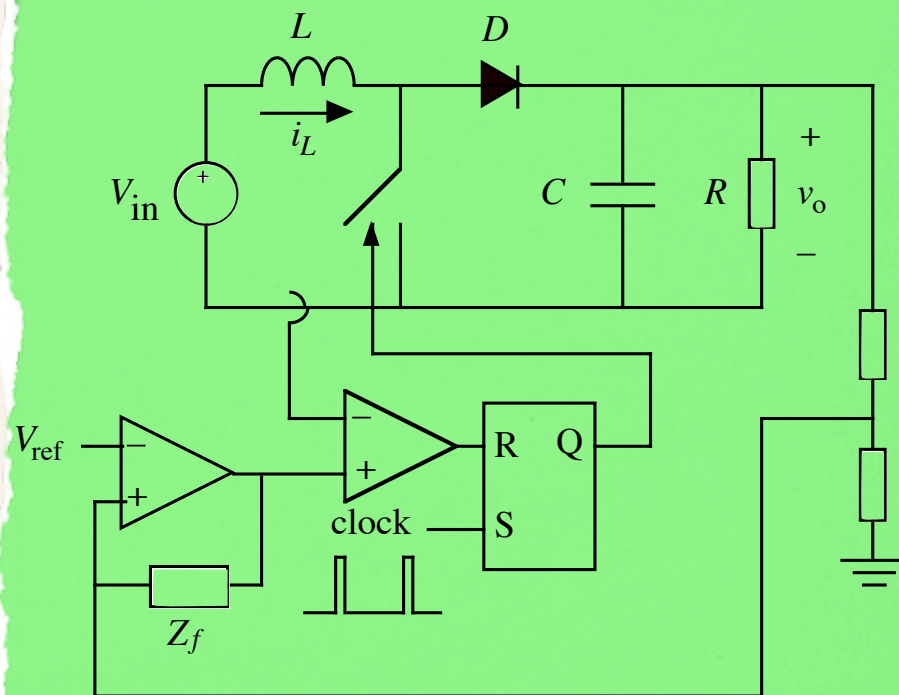


General control configurations

Voltage-mode control

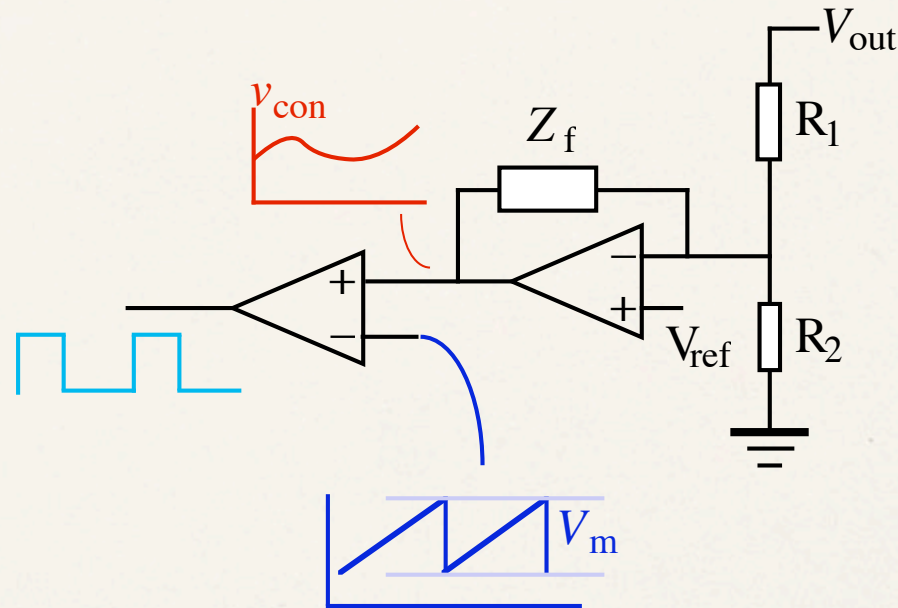


Current-mode control



Voltage-mode control

- ❖ A general feedback circuit representation is:



$$v_{con} \left(\frac{R_1 \parallel R_2}{Z_f + (R_1 \parallel R_2)} \right) = v_{ref} - \frac{R_2}{R_1 + R_2} \left[\frac{Z_f}{Z_f + (R_1 \parallel R_2)} \right] v_{out}$$

Small-signal analysis

$$v_{\text{con}} \left(\frac{R_1 \parallel R_2}{Z_f + (R_1 \parallel R_2)} \right) = v_{\text{ref}} - \frac{R_2}{R_1 + R_2} \left[\frac{Z_f}{Z_f + (R_1 \parallel R_2)} \right] v_{\text{out}}$$

- ❖ We can separate the AC from the DC component.
- ❖ Let's not worry about the steady-state operating point.
- ❖ The small-signal AC equation is:

$$\Delta v_{\text{con}} = -\frac{Z_f}{R_1} \Delta v_{\text{out}}$$

- ❖ Taking into account the PWM, we have

$$\Delta d = -\frac{1}{V_m} \frac{Z_f}{R_1} \Delta v_{\text{out}}$$

If we know the duty-cycle-to-output small-signal transfer function, then we can find the loop gain and hence be able to design the required compensator to give *sufficient bandwidth and stability*.

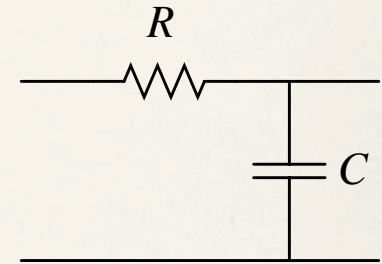
HERE, we need small-signal models from circuit theory.

Design criteria

- ❖ **Fast response** — high gain and wide bandwidth of loop gain
- ❖ **Stability** — phase shift must be well below 180deg at 0dB crossover.
- ❖ The converter is a second-order system which can become unstable under closed-loop condition, especially when the gain is high causing the phase shift of the loop gain to get close to 180deg at 0dB crossover.
- ❖ We must limit the bandwidth somehow if we allow a high DC gain.

A simple practical approach

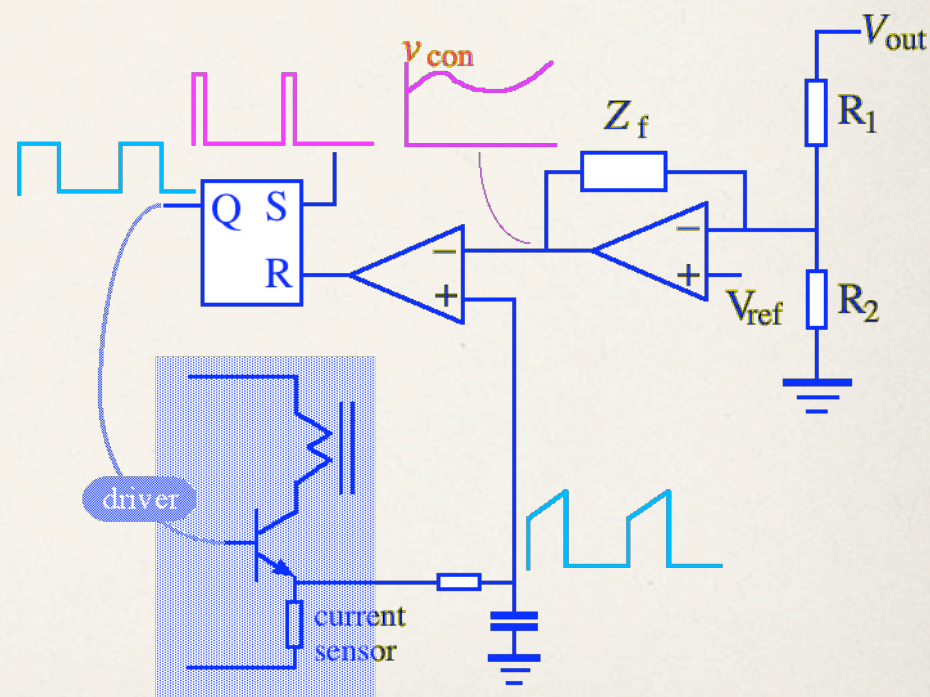
- ❖ **POLE** — frequency location where the gain begins to roll off.
 - ❖ Simplest circuit analogy: a resistance connected to a grounded capacitor forming an RC network. A low-frequency pole exists at $1 / 2\pi CR$ Hz.



- ❖ A simple *educated* trial-and-error to achieve lag compensation:
 - ❖ Select an RC combination (in the compensation circuit associated with the control IC) for a deep lag compensation — narrow band first.
 - ❖ Then, relax the time constant (widen the band) until the circuit begins to lose stability!
 - ❖ Finally, reduce the capacitor value down 10 times to restore stability.

Current-mode control

- ❖ Essential concept — The system reduces to first order, more or less!
- ❖ The system is therefore faster, with less chance for instability.
- ❖ IDEA:
 - ❖ Make the inductor current dependent on the output voltage by forcing the current peak to follow the output voltage analog.
 - ❖ Disqualify the inductor current as a state variable.
 - ❖ The converter becomes first order.

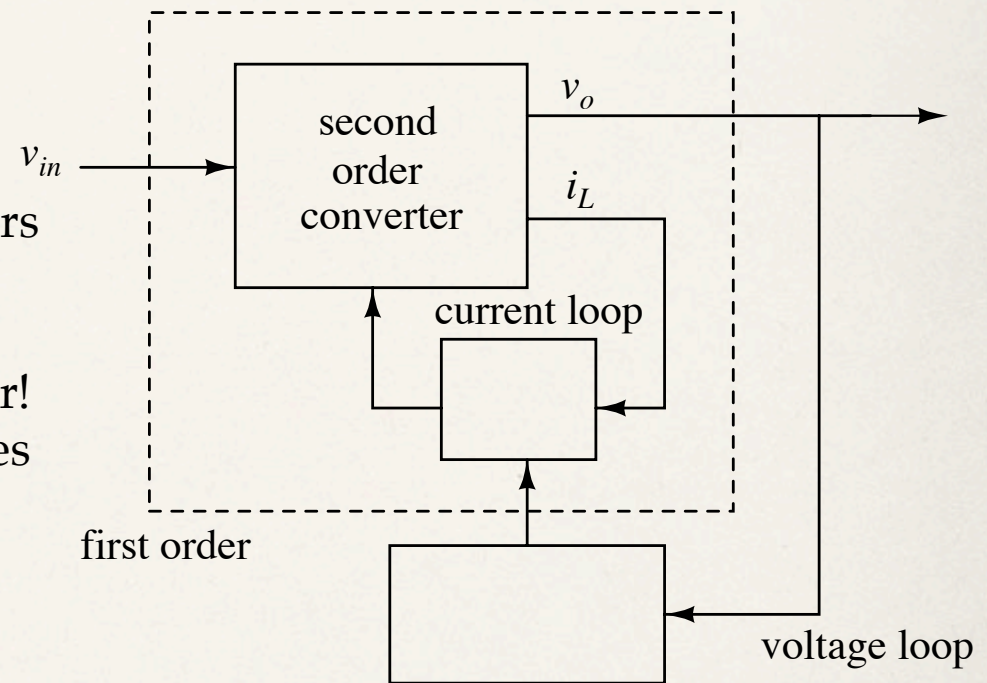


Application

- ❖ Current-mode control is particularly useful for *controlling boost and buck-boost types* of converters.

- ❖ Boost and buck-boost types of converters are **non-minimum phase systems***. Current-mode control essentially “destroys” the dynamics of the inductor! The resulting first-order system becomes much easier to control.

- ❖ The design of the outer voltage loop is relatively simple, mainly for achieving adequately fast output voltage regulation.

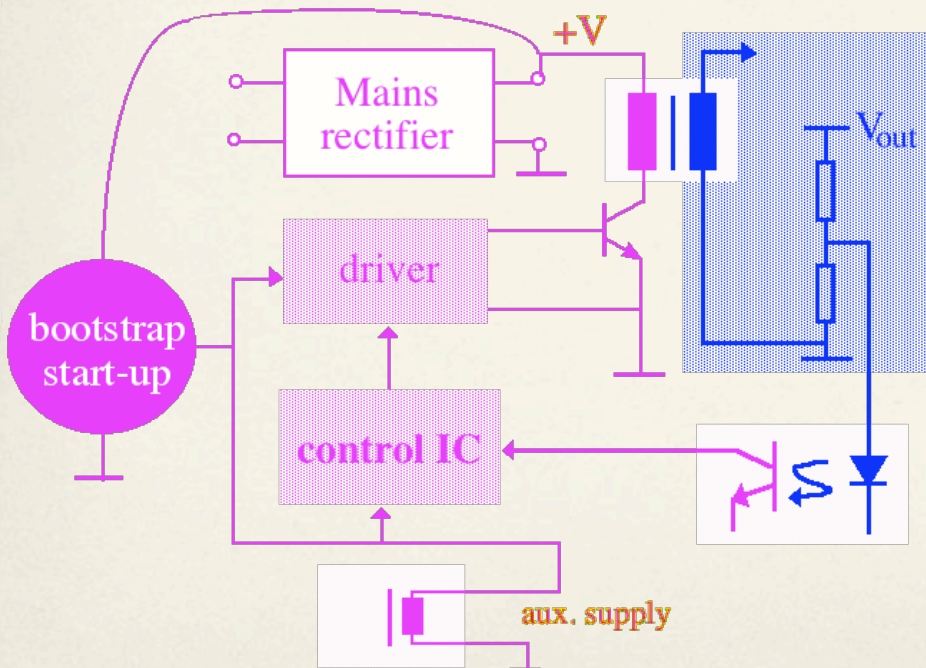


* The origin of non-minimum phase response will be examined in detail when we study the basic topologies and models of converters.

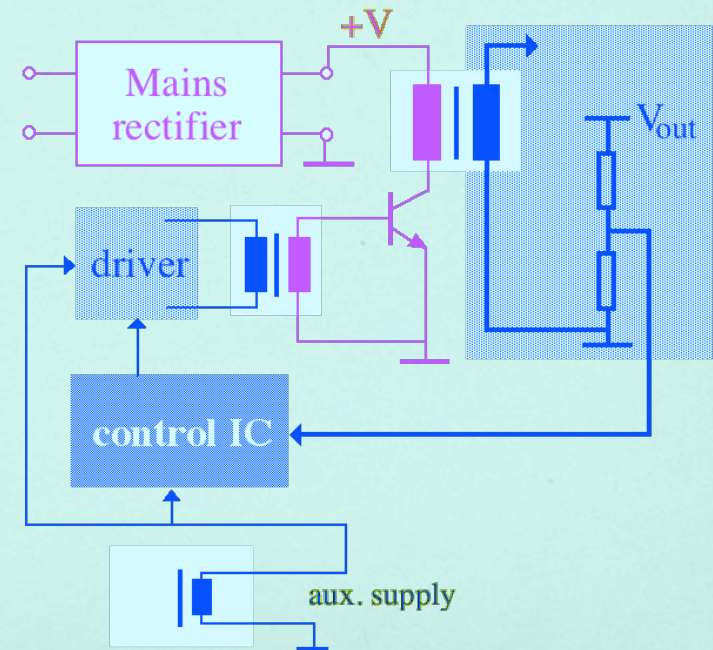
Fourth problem: isolation

- ❖ Need for isolating the load from the mains.
- ❖ But the control circuit connects the two!

Primary side control



Secondary side control

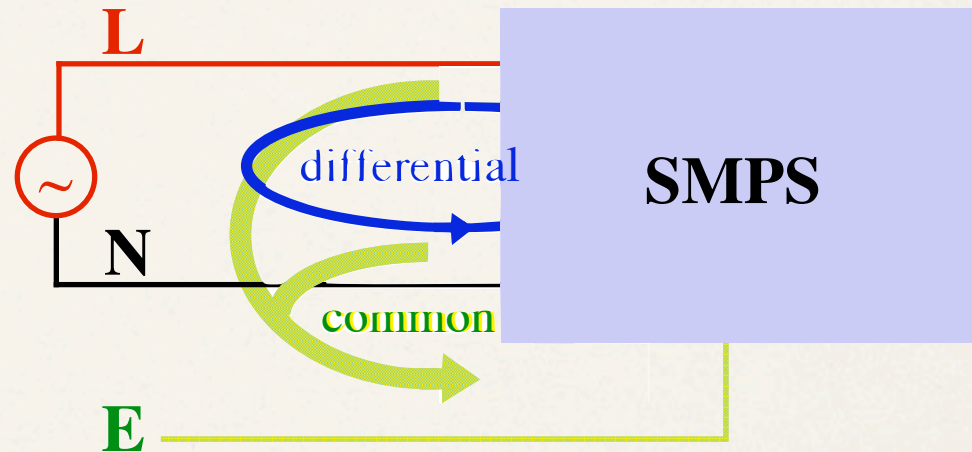


Fifth problem: filter

- * An input filter is always needed to prevent differential-mode and common-mode noise from getting into the mains.

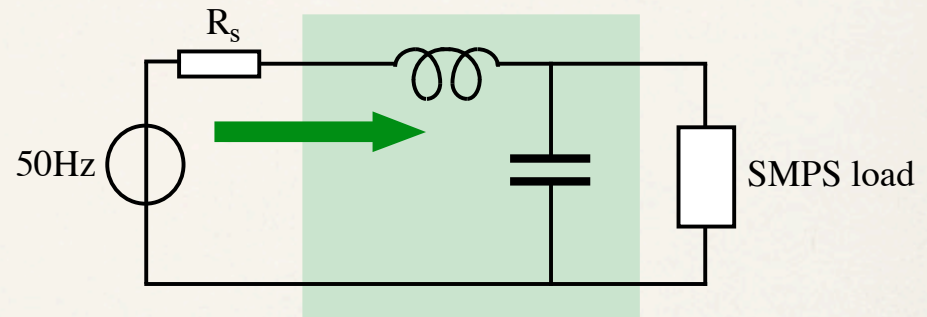
Basic requirement:

Let 50Hz gets in, but prevent high frequencies from getting out!

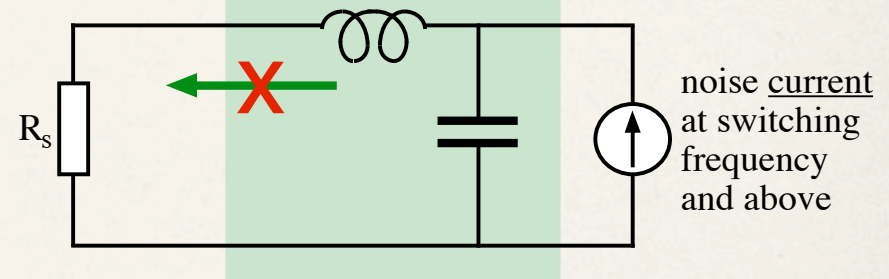


Basic theory

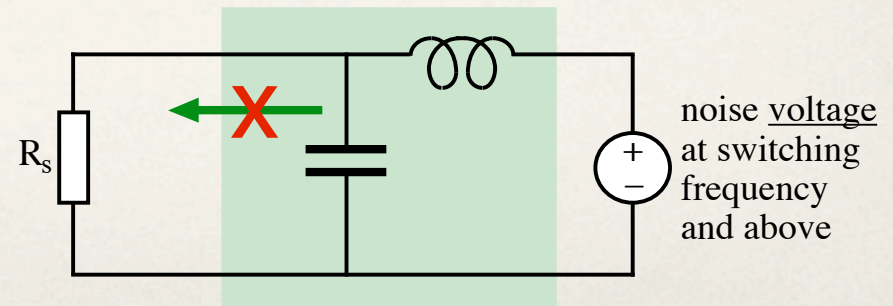
- ❖ Voltage filter (low-pass left-to-right)



- ❖ Current filter (low-pass right-to-left)

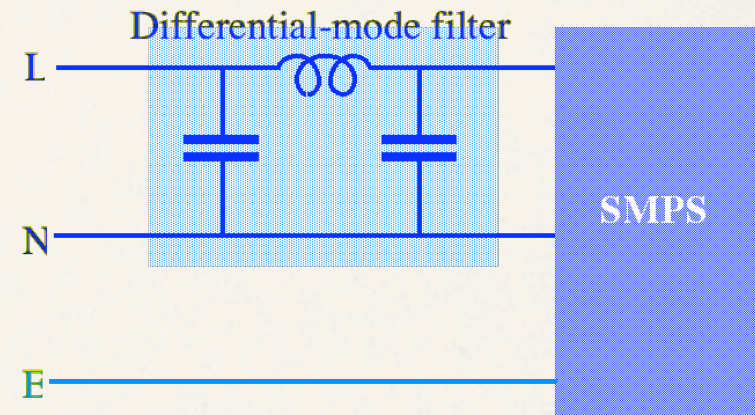


- ❖ Voltage filter (low-pass right-to-left)

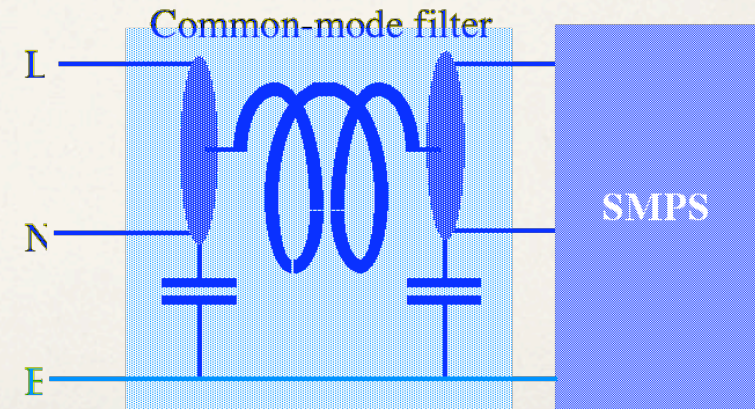


Conceptual placements

- ❖ Voltage filter (low-pass left-to-right)

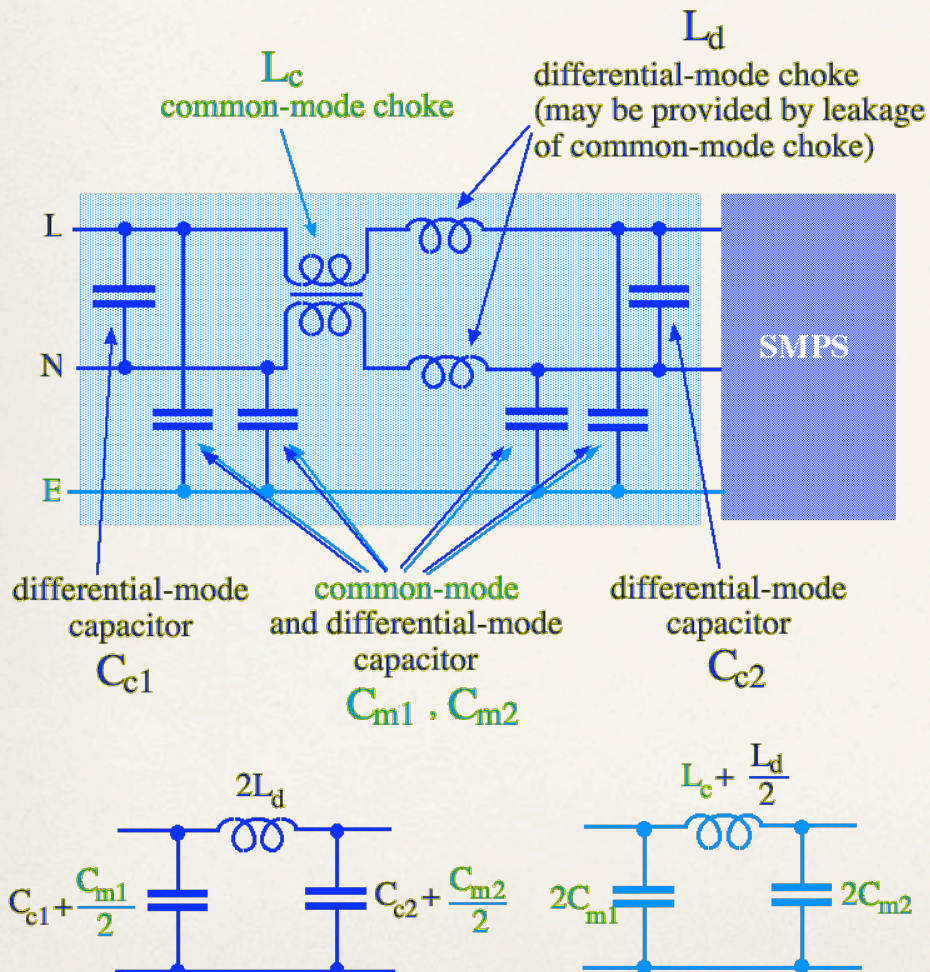


- ❖ Current filter (low-pass right-to-left)



- ❖ Voltage filter (low-pass right-to-left)

Practical placements



- ❖ NOTE:
- ❖ The EMI filter often fails to do what it is supposed to do.
- ❖ Does the theory fall short of anything?
- ❖ Or have we missed out some important things?!

Conclusion

- ❖ Theory is often made inadequate or even inconsistent!
 - ❖ In an EMI filter, a capacitor may not behave as a capacitor, and an inductor may not behave as an inductor.
 - ❖ Signals get around the filter, instead of being filtered.
 - ❖ Parasitics and nonlinearity come into play and have contributed sufficiently to invalidate the theory that has been constructed from ideal considerations.
- ❖ The theory does not fail. The problem is that we often fail to take into consideration all important relevant practical conditions when we construct our models for analysis.

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